

NEKTAR™**RECEIVED
CENTRAL FAX CENTER**

JAN 08 2004

OFFICIAL

150 INDUSTRIAL ROAD
SAN CARLOS, CA 94070-6256
650-631-3100 • 650-631-3150 FAX

FACSIMILE TRANSMITTAL SHEET

TO: Examiner E. Johnson
Group 1765

FROM: Felissa H. Cagan

COMPANY: U.S. PTO

PHONE NUMBER: (650) 631-3145

FAX NUMBER: 703-872-9306

FAX NUMBER: (650) 631-3125

PHONE NUMBER:

DATE: January 8, 2004

RE: U.S. Serial No. 09/996,020

TOTAL NO. OF PAGES: 19
(INCLUDING COVER)

☐ URGENT ☐ FOR REVIEW ☐ PLEASE COMMENT ☐ PLEASE REPLY ☐ PLEASE RECYCLE

NOTES/COMMENTS:

NOTICE OF CONFIDENTIALITY

This transmission is intended only for the use of the Addressee and may contain information that is:
1. Subject to attorney/client privilege; 2. Attorney work product; or 3. Confidential. If you are not the intended recipient, you are hereby notified that any dissemination, distribution or copying of the information contained in this facsimile is strictly unauthorized and prohibited. If you have received this facsimile in error, please notify us immediately by collect phone to the sender named above.

JAN. 8. 2004

3:34PM

NEKTAR THERAPEUTICS

RECEIVED
CENTRAL FAX CENTER

NO. 658

P. 2

JAN 08 2004

OFFICIAL

Practitioner's Docket No. 0108.11

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Mazen Hanna et al.

Application No.: 09/996,020

Group No.: 1765

Filed: 11/27/2001

Examiner: E. Johnson

For: METHOD AND APPARATUS FOR THE FORMATION OF PARTICLES

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

AMENDMENT TRANSMITTAL

1. Transmitted herewith is an amendment for this application.

STATUS

2. Applicant is other than a small entity.

EXTENSION OF TERM

3. The proceedings herein are for a patent application and the provisions of 37 C.F.R. 1.136 apply. Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10*

(When using Express Mail, the Express Mail label number is mandatory;
Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

G deposited with the United States Postal Service in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

37 C.F.R. § 1.8(a)

G with sufficient postage as first class mail.

37 C.F.R. § 1.10*

G as "Express Mail Post Office to Addressee"

Mailing Label No. _____ (mandatory)

TRANSMISSION

X facsimile transmitted to the Patent and Trademark Office, (703) 872-4306

Date: 1/8/04

Signature

Kathy Honnert
(type or print name of person certifying)

* Only the date of filing (' 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under ' 1.8 continues to be taken into account in determining timeliness. See ' 1.703(f). Consider "Express Mail Post Office to Addressee" (' 1.10) or facsimile transmission (' 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

FEE FOR CLAIMS

4. The fee for claims (37 C.F.R. 1.16(b)-(d)) has been calculated as shown below:

	(Col. 1)	(Col. 2)	(Col. 3)	OTHER THAN A SMALL ENTITY			
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDIT. FEE		
TOTAL	124	- 130	= 0	x \$ 18.00	= \$	0.00	
INDEP.	5	- 6	= 0	x \$ 86.00	= \$	0.00	
FIRST PRESENTATION OF MULTIPLE DEP. CLAIM				+ \$ 0.00	= \$	0.00	
				TOTAL ADDIT. FEE	\$	0.00	

No additional fee for claims is required.

FEE DEFICIENCY

5. An additional extension and/or fee is required, charge Account No. 500348.

An additional fee for claims is required, charge Account No. 500348.

Date: January 8, 2004

Reg. No.: 35,089
Tel. No.: 650-631-3145
Customer No.: 21968

Felissa H. Cagan

Signature of Practitioner

Felissa H. Cagan
Nektar Therapeutics
150 Industrial Road
San Carlos, CA 94070

JAN 08 2004

OFFICIAL

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Hanna et al.

Appl. No. : 09/996,020

Filed : November 27, 2001

For : Method and Apparatus for the Formation of Particles

Examiner : Johnson, Edward M.

Group Art Unit 1754

I hereby certify that this correspondence and all marked attachments are being facsimile transmitted to the Assistant Commissioner for Patents Group Art Unit 1754, 703-872-9306

11/8/04
(Date)

Kathy Horner
Kathy Horner

AMENDMENT AND RESPONSE

Assistant Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

This is in response to the Office Action in the above-referenced case dated October 8, 2003. Reconsideration of the rejections in view of the following amendments and remarks is respectfully requested.